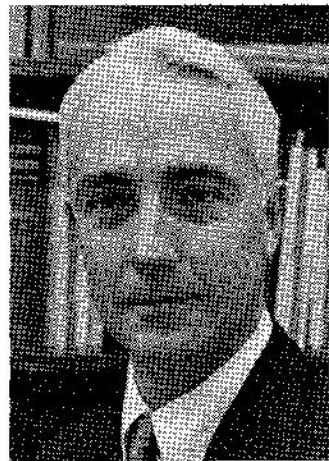

CAMPUS REPORT

A Weekly Publication for
Stanford University Faculty and Staff
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SRI Divestiture From University Recommended by Ad Hoc Group

A majority of the committee studying the relationship between the University and the Stanford Research Institute has recommended the facility's divestiture from the University through a 20-25 year loan, with Stanford having the power to impose restraints on SRI's research during the intervening years.

These are among six major recommendations contained in the report. Seven of the 12-man student-faculty committee signed the majority report following investigation and study which began last October 31.



KENNETH E. SCOTT

The committee was named by Acting President Robert J. Glaser following student criticism of SRI and its role with the University.

The report was preceded by eight days by student occupancy of the Applied Electronics Laboratory in a protest against war-related research. (See story opposite.) Such research was the major issue in objections to the University's relationship with SRI.

Professor Kenneth E. Scott, law, chairman of the committee, and Dr. Richard W. Lyman, vice president and provost, did not sign the majority report but submitted a separate statement in which Professor David S. Nivison, Chinese and philosophy, concurs. They explain, however, that they agree with most of the report.

They point out that the sale of SRI would allow some of the funds to be used for such major programs as a multi-focused program of Studies in Public Policy at Stanford. "Such a venture would consist of directing those things which a university does best—undergraduate and graduate teaching and research—to this new area of study."

Minority statements were issued jointly by Harry M.

Cleaver, graduate student in economics, and Anne C. Bauer, junior, sociology; and singly by Dr. Barton Bernstein, history.

Those who signed the majority report are:

Nick P. Falk, graduate student in business; Dr. Frank B. W. Hawkinshire, education; G. Victor Hori, graduate in history; Dr. William K. Linvill, engineering; J. Patrick McMahon, senior in communication; Professor Nivison; and Dr. Ezra Solomon, business.

These are the recommendations in the majority statement:

1. Divestiture with preservation of the independence and non-profit status of SRI.

2. Accomplishment of divestiture via a long-term (20-25 year) mortgage loan which the University makes to the Institute which the Institute then immediately uses to "purchase" itself from the University—leaving Stanford as a major creditor. The Institute would then undertake to repay the loan with an appropriate amount of interest, based on current long-term rates, over a period of twenty or so years. A fair price would be at least equal to the net book value of the University's equity in SRI.

3. For the agreed upon period of the loan (20-25 years), whether or not the loan is retired at an earlier date, the University would impose restraints upon the Institute's activities. These would be:

- ✓ a) A prohibition of chemical, biological, and radiological warfare research;
- ✓ b) A prohibition of counter-insurgency research and of military research primarily and directly related to the war

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Senate Reaffirms Its Research Responsibility; Will Meet on SRI

The Senate of the Academic Council, which is scheduled to meet within the next week to consider the report of the relationship between the University and the Stanford Research Institute, has reaffirmed its responsibility for the policies controlling research on the Stanford campus.

A student-faculty ad hoc committee, appointed by Acting President Robert J. Glaser, reported on University-SRI relations today, and procedures for reaching a decision on its recommendations are being considered.

The Senate, meeting in regular session last Thursday less than 24 hours after the start of a sit-in at the Applied Electronics Laboratory, "urgently" requested that as many as possible of the Board of Trustees attend open hearings on University-SRI relations during this month. It also asked the trustees to report fully to the Stanford community by mid-May the results of its discussions. The votes came at the end of a three and a half hour meeting which included discussion with student representatives from the SRI Coalition, groups opposed to war research. Forty-three of the 53 voting members of the Senate attended the regular meeting.

Without dissent, the Senate also endorsed President Ken-

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in Viet Nam, or elsewhere in the world, which is found to be morally offensive or undesirable by a review committee.

4. A review committee discussed earlier would be set up to advise the President on the interpretation and enforcement of the restraints, and to disseminate information on SRI's activities. The Trustees of the University would accept an obligation to enforce the restraints, through the courts if necessary.

5. The name of the new Institute would be changed from Stanford Research Institute to "SRI Inc." or something even less suggestive of identity with the University.

6. The University would use the annual funds received from SRI each year for the purposes of furthering basic research and educational programs.

Referring to the recommendation to divest SRI from Stanford, the majority statement says that financial considerations must be made if the University's academic program is to be improved.

"We must add talented new administrative and counseling personnel who are of minority groups and also those who have abilities important to minority students and to the study of urban minority problems. We could well use a department or school on urban studies."^{restruct}

The statement points out programs such as these cost money, but "here we see the most attractive case for Stanford divesting SRI."

Professor Scott and Dr. Lyman, in their separate statement, point out: "There is a great deal, indeed most, of the Majority Statement with which we agree, including very emphatically the recommendation for divestiture... But there are several points that seem to us to deserve greater recognition or emphasis."

The method of divestiture and sale which it recommends, they contend, "will probably produce a figure at the bottom end of the estimated range of value from \$25 million to \$45 million, whereas other methods might well yield proceeds

toward the top of the range. A difference of possibly \$20 million is no small matter, and even more is that true of the difference between selling SRI and retaining it.

"Our fear is that this may seem abstract and of secondary importance, instead of the vital factor it is. Sums of this magnitude need to be translated into actual programs to be appreciated. For example:

—The entire University program of undergraduate and graduate student assistance (scholarships, fellowships and grants) could be funded at present levels for the next 10 years with \$41.3 million.

—The vast new research library addition is projected to cost \$20.2 million to construct and equip.

—It would require \$900,000 a year to expand the present freshman seminar program to include all freshmen.

—The current minority group enrollment and academic assistance program could be doubled at an additional annual cost of \$500,000.

—The planned Moore-Jacks dormitory complex, providing badly needed student housing of decent quality, would cost \$11.2 million.

"The list could be multiplied, but the point is clear. It would be the height of folly to treat the amount of net cost or return, to be realized from retention and alteration of SRI or from a particular mode of divestment and sale, as just a minor element to be brushed aside without careful estimation in the course of venting moral outrage by making a symbolic gesture. Perhaps part of the attraction of symbolic gestures is the notion that because they are not justified on the basis of having any immediate concrete results of significance, one is excused from having to take into account their immediate concrete costs.

"We assume, here, that the sale of SRI would bring the University only about \$35 million. We assume further that one-third of that amount would be made part of the University's general endowment to help relieve pressures that have led, *inter alia*, to a steady increase in tuition charges. Suppose the remaining funds were used to fund a major, multi-focused program of Studies in Public Policy at Stanford. Such a venture would consist of directing those things which a university does best—undergraduate and graduate teaching and research—to this new area of study."

Professor Scott and Dr. Lyman suggest as illustrations of "new directions" a study of School Decentralization in the Major Cities; comparative urban studies; manpower training; minority problems; faculty augmentation; a new undergraduate major in Public Policy Analysis; and education of future teachers.

McMahon says his agreement to the set of proposals in the Majority Statement "hinges on the requirement that an extensive legal review be undertaken" before sale to ensure its legality. If there is any doubt," he concludes, "my support goes to the Bauer and Cleaver minority recommendations..."

Professor Bernstein's statement contends, "It is unconscionable for a university to sanction, assist, or sponsor research in chemical, biological, and radiological warfare;

CAMPUS REPORT

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counter-insurgency; activities directly related to the continuation of the war; and activities designed to extend American corporate or governmental power over the peoples of other nations.

In summary, he says "... the university must retain and control SRI by establishing and enforcing guidelines to direct SRI to follow socially responsible policies and to prohibit research in chemical, biological and radiological warfare, counter-insurgency, projects directly related to the continuation of the war in Southeast Asia, and projects designed to extend American corporate or governmental power over the peoples of other nations."

The lengthy minority statement submitted by Cleaver and Miss Bauer reviewed the history of Stanford and SRI, and

the authors related their observations and conclusions with United States' "counter-insurgency research" in foreign countries; the Vietnam war; and students' objections culminating with the AEL sit-in.

The dissenters state "... it is clear that the university community must develop guidelines and mechanisms to insure an evolution in SRI's development and in its research programs. There needs to be some institutional means by which the university community can monitor and direct this evolution."

Cleaver and Miss Bauer point out a new direction is being demanded of the University, and if a mechanism is created which allows and encourages criticism and change of SRI's policies and programs, "that institute... can be a valuable asset to a University seeking a new, creative role in society."

Senate Reaffirms Responsibility

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neth S. Pitzer's statement to the trustees Tuesday (April 8), saying its group "shares the moral concerns expressed by that statement and many members of the University community."

President Pitzer urged the trustees to "take a step toward the renewed confidence" among students by indicating "a clear desire to learn and to consider seriously campus viewpoints before reaching a decision on SRI and related topics." (See *Campus Report* special edition, April 10.)

During its meeting, the trustees asked the directors of SRI not to make any new contracts for research in chemical or biological warfare pending completion of a reappraisal of the present ties between the two institutions. They also voted to have a trustee committee hold a hearing on University-SRI relations following publication of the ad hoc committee report.

The sit-in at the AEL began Wednesday night (April 9) in a move to prevent classified research activities there.

About 50 persons, calling themselves Concerned Faculty on SRI, voted to support "the pacific holding of a University building" by students acting under "a strongly held moral imperative."

On Friday, President Pitzer issued his second statement asking the occupants of AEL to leave and reiterating that the sit-in group was "in violation of the University Policy on Disruption." His statement further said:

"I understand that this act of civil disobedience is a means of showing to our community the extent of your concern and the depth of your solidarity. I find it an impressive testimony, but we are obligated at this university to protect the rights of all the members of the community. You, in your commitment to your cause, are infringing on the rights of others.

"Therefore, I must ask you again to leave the Applied Electronics Laboratory and join the faculty and me in working through peaceful channels to resolve issues which so concern us all. Your failure to leave the building obligates me to initiate proceedings against your actions through the Stanford Judicial Council. The longer the disruption of University activities continues, the more serious will the case become."

SENATE REPORT No. 18

At its regular meeting on April 10, 1969, the Senate of the Academic Council adopted the following:

Resolved that:

- a. The Senate endorses the statement made by the President of the University to the Board of Trustees on April 8, 1969, and shares the moral concerns expressed by that statement and many members of the University community;
- b. The Senate urgently requests that as many as possible of the Board of Trustees attend open hearings to be held on campus during the month of April to consider the relations of the University and Stanford Research Institute;
- c. The Senate urgently requests that the Board of Trustees report fully to the Stanford community the results of its discussions concerning the SRI-University relationship by mid-May;
- d. The Senate instructs the Steering Committee to schedule a special meeting of the Senate within a week after the issuance of the report of the Committee to Study the Relationship between the University and the Stanford Research Institute;
- e. The Senate reaffirms its responsibility for the policies controlling research on the University campus and declares its intention to reexamine these policies this Spring.

In addition, the Senate received reports on the following matters on which no action was requested or taken.

1. Appointment by the Steering Committee of the following Representatives in the Senate to serve on the Conference Committee to consider any and all discrepant actions on SES Proposals by the Senate and the Student Education Council: Holt Ashley, Jonathan L. Freedman, Edwin M. Good and Robert E. Greenberg.
2. Action of the Committee on the Graduate Division in revising the University requirements for the degree of Master of Fine Arts, i.e., a reduction in the minimum unit requirements for the M.F.A. from 72 to 48 units and a reduction in the minimum University residence requirements (in terms of tuition paid) from six to three quarters (or the equivalent) of tuition, with the department's requirement of registration for units during the last six quarters remaining unchanged.
3. The Academic Secretary's plans for conducting the referendum on the Senate's action on ROTC, pursuant to the mandate of April 4 from the Academic Council.

H. Donald Winbigler
Academic Secretary

BOARD OF TRUSTEES ACTIONS

Actions taken by the Board of Trustees at its April 8, 1969 meeting:

1. Took a number of actions concerning procedures for consideration of the Report of the Committee to Study the Relationship between the University and Stanford Research Institute. These actions were reported separately in a special issue of *Campus Report*.

2. Appointed a five-man Trustee committee to make recommendations on the structure, composition, and function of the Board. John W. Gardner will be chairman of the committee, which will include as members Ernest C. Arbuckle, William Hewlett, Robert Minge Brown, and Dean Watkins.

3. Voted to increase the general University tuition for the 1970-71 academic year to \$2400, or \$800 per Quarter, with the understanding that student aid will be increased to meet the corresponding increased financial need of qualified undergraduate and graduate students, and that the Graduate School of Business tuition will be \$2445 and the Medical School tuition for M.D. students will be \$2595.

4. Professors Leonard I. Schiff, William A. Clebsch, W. Dale Harris, and William F. Baxter, and Students Denis A. Hayes, Patrick A. Shea, Albert B. Spector, Roulette W. Smith and Michael Sweeney, as members of the University Advisory Committee, attended all or part of the Board sessions.

Professor Edward Shaw spoke to the Committee on Investments upon general economic conditions affecting or likely to affect investment policies.

The following long-term promotions will be effective 9/1/69 unless otherwise noted:

Angel, Ronald W., *Associate Professor of Medicine*; Breitrose, Henry S., *Associate Professor of Communication*; Cohen, Leon, *Associate Professor of Medicine*; Crawford, Frederick William, *Professor of Electrical Engineering*, effective 4/1/69; Creger, William P., *Professor of Medicine*; David, Paul A., *Professor of Economics*; Emmons, Terence, *Associate Professor of History*; Frake, Charles Oliver, *Professor of Anthropology*; Hancock, Ernest W., *Associate Professor of Medicine*; Kurz, Mordecai, *Professor of*

Economics; Lidster, Miriam B., *Associate Professor of Physical Education and, by courtesy, of Education*, effective 4/1/69; Massell, Benton F., *Professor in the Food Research Institute*; Paisley, William John, *Associate Professor of Communication*; Remen, Rachele Naomi, *Assistant Professor of Pediatrics*; Remington, Jack Samuel, *Associate Professor of Medicine* (coterminous with his appointment at the Palo Alto Research Foundation); Richards, Cedric William, *Professor of Civil Engineering*; Wigodsky, Michael M., *Associate Professor of Classics*.

The following long-term reappointments will be effective 9/1/69 unless otherwise noted:

Alexander, Charles Norman, Jr., *Assistant Professor of Sociology*; McCullough, Helen Craig, *Lecturer in Japanese* (half-time); Meyer, John Wilfred, *Assistant Professor of Sociology*; Pritchard, Robert Leslie, *Professor of Electrical Engineering*, effective 4/9/69 (coterminous with administrative appointment as *Associate Chairman, Admissions*).

The following long-term appointments will be effective 9/1/69 unless otherwise noted:

Barbour, Allen B., *Associate Professor of Clinical Medicine*; Bark, Joachim, *Associate Professor of German*; Barton, John Hays, *Assistant Professor of Law*, beginning 7/1/69; Beaver, William H., *Associate Professor of Accounting*, Grad. School of Business; Bernstein, Alan Edgar, *Assistant Professor of History*; Bignami, Amico, *Associate Professor of Pathology*; Bradford, David Lee, *Assistant Professor of Organizational Behavior*, Grad. School of Business; Brest, Paul Andrew, *Assistant Professor of Law*, beginning 7/1/69; Collier, George Allen, *Assistant Professor of Anthropology*; Dunkelberg, William C., *Assistant Professor of Business Economics*, Grad. School of Business; Eskin, Gerald Julian, *Lecturer in Marketing*, Graduate School of Business; Kahn, Harold L., *Associate Professor of History*; Majumdar, Mukul Kumar, *Assistant Professor of Economics*; Portney, Gerald Lee, *Assistant Professor of Surgery-Ophthalmology*; Weinhold, Frank A., *Assistant Professor of Chemistry*.

Frederic O. Glover.

Executive Assistant to the President

Arguments on ROTC To Be Published Prior to Vote

Members of the Academic Council have been invited to submit written arguments for publication in the *Campus Report* prior to the mailed ballot vote which will determine the status of ROTC on the Stanford campus.

Mailed ballots have been sent to the 999 Council members on the Stanford campus and the foreign campuses, and they are to be returned to the Academic Secretary on or before April 30. The arguments must be submitted by April 17.

A number of Council members expressed the wish to explain their views on the matter, and concise written arguments will be published in the *Campus Report* the week following April 17.

The arguments for publication should be sent to the *Campus Report* editor, News & Publications Service, Room 332, Encina Hall.

Included with the ballot is a copy of the Senate action on February 13, in which it was voted to remove academic credit for military programs.

Following is the wording of the ballot:

— 1. APPROVAL OF THE SENATE ACTION ON 13 FEB-

RUARY 1969 ON ROTC.

(The essential points of the Senate action, which would be effective beginning with the Class of 1970 and culminate in 1973, are the following: removal of academic credit for military programs; removal of academic rank for representatives of the armed forces at Stanford; continuation of individual contracts between Stanford students and the armed forces, with removal of punitive clauses involving enlisted service; establishment of a single Armed Forces Officers Program for the purposes of administration, counseling, and recruiting for reserve officer training and education; referral of certain other matters, and of the transition process, to a committee composed of representatives of faculty, administration, military science departments, and students The full text of the action is enclosed.)

— 2. DISAPPROVAL OF THE SENATE ACTION OF 13 FEBRUARY 1969 ON ROTC,

(with the understanding that the President of the University will enter into negotiations with the Department of Defense and return to the Senate for its consideration not later than one year from this date a proposal for continuation of ROTC at Stanford which will assure programs consistent with academic objectives and standards of the university, and responsive to the views which have been expressed by reports, referenda and petitions of the university community).